

FIN 352: Investment Management - Professor Dow

Fall 2019 - Syllabus

Professor James Dow

Office: JH4107

Phone: x4539

Email: james.dow@csun.edu

Course Description:

Prerequisite: FIN 303. Passing score on the Upper Division Writing Proficiency Exam. BUS 302 and BUS 302/L are prerequisites for BSBA majors. Survey of investments including corporate and government securities, real property and financial intermediaries. A survey of investment theory emphasizing security analysis, valuation and portfolio management.

Text and Calculator:

The textbook for this course is *Investments: Analysis and Management* by Jones & Jensen (13th ed., you may also use the 12th edition by Jones). It is expected that all students can download information from the internet (including PDF files) and can use Excel for basic financial and mathematical calculations. You will also need a financial calculator. There is a webpage (<http://www.csun.edu/~jpd45767/352/352InvestmentsDow.html>) which serves as a guide to the class. You should bookmark it.

Grading:

The course grade is determined as a weighted average of the letter grades for the midterm and final where the midterm is worth 40% and the final is worth 60%. There are no early or makeup exams. You may not enter the classroom 30 minutes after the start of an exam. Plus/minus grading is used.

Other:

Please turn cell phones off or to vibrate. Please do not text-message during class. Blackberrys and similar devices may not be used for taking notes.

COBAE Ethics Statement & CSUN Academic Honesty Policy

The College of Business and Economics at California State University, Northridge, prepares students to be ethical decision makers. The college maintains high standards of ethical conduct that students are expected to maintain throughout their academic and professional careers. Please view the "core values" at <http://www.csun.edu/busecon>.

The CSUN policy on academic dishonesty will be strictly enforced. A statement of the policy can be found in the CSUN 2006-2008 Catalog, Appendix C, p.536. (URL: <http://www.csun.edu/catalog/>) Any student violating the academic dishonesty policy with respect to any aspect of this class will not receive credit for the course and will be reported to the appropriate authorities.

#13407: F 11:00-1:45; BB 2228

Office Hours: T 9:30-11:00, F 9:30-11:00, or by appointment.

Course Schedule (subject to revision)

Class	Lecture	Chapter in Jones
8/30	What Investors Do	Chap 1
	Investing Alternatives	Chap 2
9/6	Mutual Funds	Chap 3
	Mutual Funds	
9/13	Asset Allocation	Chap 21
	Securities Markets	Chap 4
9/20	Securities Markets	
	How Securities are Traded	Chap 5
9/27	How Securities are Traded	
	Returns and Risk	Chap 6
10/4	Returns and Risk	
	Taxes	
10/11	Planning for Retirement	
	Planning for Retirement	
10/18	<i>Midterm</i>	
	Market Efficiency	
10/25	Market Efficiency	
	Portfolio Theory	
11/1	Portfolio Theory	
	Valuation	Chap 10
11/8	Valuation	
	Company Analysis	Chap 15
11/15	Real Estate	
	Bonds	Chap 17
11/22	Bonds	
	Futures	Chap 20
11/29	<i>Thanksgiving</i>	
12/6	Options	Chap 19
12/13	<i>Final</i>	